



NetXInvestor® New Login Procedure

Pershing's recent update of its client website, NetXInvestor, includes new security verification steps that impact the way you access your Pershing accounts online. The FAQs below provide additional information on the rationale behind these new security processes, as well as steps you can take to address any problems you may encounter as you log into NetXInvestor.

1. What has changed?

Instead of using security questions and answers to verify your identity when you log on, NetXInvestor now uses a new identity verification process with enhanced safety features. This new process involves the use of an Identity Verification Code.

2. What is an Identity Verification Code (IVC)?

An IVC is a one-time use code generated by Pershing to prevent fraudulent access to your accounts. Should you enter the wrong NetXInvestor password on a recognized device (a device that you have used before with Pershing, and that has been registered on NetXInvestor as a "trusted" device for purposes of receiving messages from Pershing), or try to access your accounts on an unrecognized computer, the IVC code is sent to your pre-selected landline or cell phone upon request. See Step #4 below for information on how to mark a device as "trusted" with Pershing.

- The IVC is sent securely and expires within a given time limit.
- The IVC can be sent to a cell phone via voice, text message, or email.

Please Note: If you are locked out on an unrecognized device, you cannot request an IVC code to reset your password. This is done to prevent someone from resetting a password fraudulently. In this event, please contact our office at (440) 779-1430.

3. What are the benefits of the identity verification system?

If you forget your password and are locked out of your account, you can have an activation code sent to your pre-registered mobile device or email address. The code is delivered almost instantly and securely. By using the code, you can regain access to NetXInvestor any time of day.

4. How do users register a device to receive an IVC?

Existing users can visit NetXInvestor, click through to the "Add a Phone Number" and follow the process outlined. New users will be presented with the "Add a Phone Number" or "Add Email" screen when they first log in.

5. What if I don't have a cell phone?

The process works with a cell phone or a regular landline phone. You can receive your IVC code via text message, email or voice call depending on your preference.

6. How do I set up the process?

Step One:

After logging in to NetXInvestor you will be directed automatically to the “Add a Phone Number” section. Within that section, you will be asked to provide the following information:

- Device Nickname: Enter an identification name for the device, such as “My Cell Phone.”
- Country Code: From the list provided, select the country.
- Phone Number: Type the phone number that should receive the IVC.
- Confirm Phone Number: Retype the phone number.
- Contact Method: Select “Voice Call” or “Text/SMS.” An activation code will be sent to your device via the selected contact method.
- The “Activate Your Device” window will display.
- Once the “Activate Your Device” window displays, type the six-digit activation code in the boxes provided, and click “Activate.”
- You will see a second message confirming the device is now active and ready to receive IVC messages.

Step Two:

In the “Add an Email Address” section, provide the following information:

- Email Nickname: Use something you will remember for the device, such as “My Cell Phone’s Email.”
- Email Address: Type the email address that should receive the IVC, and then confirm.

Step Three:

In the “Alert Notification” section, type the same email address that should receive notifications in both the “Email Address” and “Confirm Email Address” fields.

Note: See Page 5 for additional step-by-step procedures with screenshots.

7. How long is an IVC valid for?

An IVC code is valid for 30 minutes. Each time you receive an IVC code, you will also be notified of the length of time the code is valid. If you request an IVC code multiple times during that period, you will receive the same code, along with the remaining time you have to use that code. For example: you request a code (valid for 30 minutes); if a second request is made 10 minutes later, the same code is resent and you are then advised that the code will be good for 20 minutes.

8. How many IVCs can I request?

You can submit a request for an IVC up to five times in 30 minutes. If a request is made five times in 30 minutes without making an attempt to enter the code, you will be warned, and then suspended for 10 minutes as a security precaution. Once the 10 minute suspension has elapsed, you can request a new IVC code.

9. What if I enter the IVC incorrectly?

If an IVC is entered incorrectly three times, your account will be locked and you must contact your financial advisor for assistance.

10. Which operating systems and web browsers are supported by IVC?

While Pershing does not recommend any specific browser/operating system combination, the following are regularly tested:

	IE through 11	Chrome 28+	Firefox 24+	Safari 5.1+
Windows 8 +	Yes	Yes	Yes	
OS X 10.5 +				Yes
OS X 10.6 +		Yes	Yes	Yes
iOS 7 +			Yes	
Android	Yes			

11. Are cookies required to use IVC?

Yes. You should retain your recommended browser settings, with cookies enabled, to ensure the best experience with NetXInvestor. Changes to cookie settings may make it difficult to navigate the site or require you to re-authenticate yourself when you log in.

12. Do I need to re-authenticate each time I log in to NetXInvestor from the same device?

No. Provided you click the “remember this device” box on the log in screen and have cookies enabled, NetXInvestor® will remember you the next time you log in from the same device.

13. Do I need to set up a new “site key” (security image) to access the website?

Yes. The first time you access NetXInvestor, you will be asked to select a new image to advance through the login process. Once the image is chosen, it will be visible whenever you visit the site.

14. What if I am experiencing issues with IVC?

If you are experiencing issues when logging in to NetXInvestor and setting up IVC, please walk through the following steps. This will enable you to set up IVC and authenticate devices, helping protect your privacy, data and assets:

- 1. Within your internet browser, access internet options and delete all temporary internet files, history and cookies and verify that the “Delete browsing history on exit?” box is unchecked.
- 2. Delete old bookmarks to all NetXClient, NetXSelect and mydocumentsuite.com sites.
- 3. Close your internet browser and open a new window.
- 4. In the address bar, enter the appropriate URL for your financial advisor’s broker-dealer. Please note that the “www” must be omitted from the address for the site to load.
- 5. Save the login page as a new bookmark or favorite in your internet browser.

- **6.** The investor should enter his or her login ID and click Continue.
 - If this is the first time you are logging into the new site, you will need to verify a method of receiving your IVC for password resets. This code can be received via email, text or voice.
- **7.** Continue to follow the prompts through the device verification for the IVC.
- **8.** You will be asked to verify the IVC by receiving a six-digit code via text, voice or email.
- **9.** Once verified, you will be asked for your password to log in successfully.
 - It is imperative that the “Continue Remembering This Device” box under the password dialog box is checked in order to save the device and avoid needing to enter your IVC code upon every login attempt.
- **10.** You will then be directed to your account information.

Note: If you are still unable to log in, please contact our office for assistance at 440-779-1430.

On the following page are step-by-step instructions with screenshots for how to log into NetXInvestor from our website.

How to Login

Begin here by clicking the “Account Login” button on the SzarkaFinancial.com homepage to access your account information or register for online access.

Click here



Retirement & Estate Planning | Building Wealth, 401(k) | Investments, IRAs | Succession Planning


How Do You Picture Retirement?


Whether it's one month or 20 years, it's never too late or too early to start planning for your retirement career! Let's get started today.

How Do I Figure Out My Number?

How do you figure out the actual amount you need in order to be able to retire, your "number"? We collaborate with you to determine your "number," based on your long-term financial goals and your family's financial needs, providing personal attention every step of the way.

Meet Our Financial Advisors

 Les Szarka, CFP® ChFC®
Chief Executive Officer

 Alex Menassa, MBA, CPA
Senior Financial Planner

Home

Step 1: Click the “Register” button.

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SIMPLIFY YOUR EXPERIENCE
With one-click navigation, enhanced readability, and the ability to quickly access and review information.

Login
Enter User ID
Remember me Continue
[Stay Safe Online](#)

Quick Quote
Enter Symbol or Name


Need an ID?
FSC Securities Corporation provides you with online access to your investment accounts, night and day, seven days a week.
Sign up today to access your account online.
Register Check Status

Click here



Welcome

Step 2: Click the “*Start Registration*” button.



Online Registration

1. Welcome

2. Terms & Conditions

3. Profile Information

4. Identity Verification

5. User ID & Password

6. Confirmation

Welcome

The registration process will allow you to create a new user ID and password with which to access your brokerage information on this site.

Please contact FSC Securities Corporation if you have an existing user ID and wish to link additional accounts.


You will be guided through 4 simple steps to complete your registration, during which you will be asked to:

1. Review and agree to the Terms and Conditions regarding the use of this website
2. Provide user information, including an e-mail address
3. Verify your identity
4. Create a user ID and secure password

At the end of the process you will have to activate your account by clicking a link in an e-mail that will be sent to the e-mail address you provided.

Start Registration

Cancel


Click here

This information is provided by FSC Securities Corporation through Pershing LLC, member [FINRA](#), [NYSE](#), [SIPC](#), a subsidiary of The Bank of New York Mellon Corporation.

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[Characteristics and Risks of Standardized Options Disclosure Booklet and Supplements](#)

Terms & Conditions

Step 3: Please read all of the Terms & Conditions. Click the “I Agree” box if you wish to proceed. Then click the “Continue” button.

The screenshot shows the FSC Securities Corporation Online Registration interface. At the top is the FSC logo. Below it is a progress bar with six steps: 1. Welcome, 2. Terms & Conditions (highlighted), 3. Profile Information, 4. Identity Verification, 5. User ID & Password, and 6. Confirmation. The main content area is titled "Terms & Conditions" and contains the following text:

Read and agree to the terms and conditions of the Identity Verification Agreement before proceeding.

Identity Verification Agreement

You must have a Social Security number to register on this site. If you do not have a Social Security number, please contact your financial organization for assistance.

In addition, you must register using an account for which your Social Security number is primary on the account. For example, you cannot register using a joint account if you are not listed as the primary account holder.

When registering on this site, you will be asked a series of multiple-choice questions. The questions have been developed by a third-party vendor based on information obtained from public databases. Your answers are compared by the vendor to the information available from these sources.

You may be asked questions about yourself, people you know or were once associated with, places you have lived or worked, and the like. The information used in these questions is not related in any way to the information on the specific account(s) held at your financial organization.

We strongly encourage you to review the [Frequently Asked Questions](#) about online registration, which provide greater detail about the identity verification process.

Successful online registration will provide immediate access to your accounts. At this time, accounts without a Social Security number are not eligible for online registration. In addition, if your Social Security number is not recognized as being associated with the account number you enter into the system, your online registration will be unsuccessful.

To continue with online registration, please click I Agree below.

If you are ineligible for online registration, or you do not want to complete the registration process online, click Cancel below and contact your financial organization to register.

By accepting this Agreement, you affirm that you are at least 18 years of age.

At the bottom, there is a checkbox labeled "I Agree" which is checked, and two buttons: "Continue" and "Cancel".

Click here

Click here

Profile Information

Step 4: Fill in the requested User Information (all fields are required) as shown below. Then click the “Continue” button when finished.

The screenshot shows the 'Online Registration' process for FSC Securities Corporation. The current step is '3. Profile Information', which is highlighted in the navigation bar. The form is titled 'User Information' and includes instructions: 'Provide the following information, which will be used to authenticate your identity. This service is provided by a third-party vendor. Authenticating your identity in this manner will NOT impact your credit rating.' and 'Please do not click the "Back" button in your browser during the registration process.' A note states 'All fields are required.' The form fields are: First Name, Last Name, Social Security Number, Account Number, Legal Address (U.S. address only), City, State (a dropdown menu labeled 'Select State'), and Zip. A red box highlights these fields. To the right of the fields, there is a note: 'Please enter an account number for which you are listed as the [primary account holder](#)'. At the bottom of the form, there are two buttons: 'Continue' and 'Cancel'. The 'Continue' button is highlighted with a red box.

Fill in information

Click here

Identity Verification

Step 5: Choose the correct answer to the “*Identity Verification*” question, then click the “*Continue*” button when finished.

The screenshot shows the FSC Securities Corporation Online Registration interface. At the top is the FSC logo. Below it is a progress bar with six steps: 1. Welcome, 2. Terms & Conditions, 3. Profile Information, 4. Identity Verification (current step), 5. User ID & Password, and 6. Confirmation. The main content area is titled "Identity Verification" and asks the user to answer questions to verify their identity. The first question is "What color was the '2008 Ford Escape XL 5' that you have owned?". There are six radio button options: Black (selected), Brown, Pink, Taupe, Yellow, and "I have never been associated with this vehicle". Below the options are two buttons: "Continue" (highlighted with a red box and an arrow pointing to it with the text "Click here") and "Cancel". An arrow points from the text "Select Answer" to the "Black" radio button.

Identity Verification

Please answer the following required questions to verify your identity.

What color was the '2008 Ford Escape XL 5' that you have owned?

- ☒ Black
- ☐ Brown
- ☐ Pink
- ☐ Taupe
- ☐ Yellow
- ☐ I have never been associated with this vehicle

Select Answer

Click here

Continue Cancel

User Name & Password

Step 6: Set up your Account on the first screen by entering your User ID, then clicking the “Register” button. A confirmation email will be sent to you. Click on the link in the email, then when prompted, enter your password and click the “Register” button.

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SIMPLIFY YOUR EXPERIENCE
With one-click navigation, enhanced readability, and the ability to quickly access and review information.

Login
Enter User ID
Remember me Continue
[Stay Safe Online](#)

Quick Quote
Enter Symbol or Name

Need an ID?
FSC Securities Corporation provides you with online access to your investment accounts, night and day, seven days a week.
Sign up today to access your account online.
Register Check Status

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ACCESS YOUR INVESTMENTS ONLINE
FSC Securities Corporation provides you with a high quality and cost effective means for managing your investments. You can access your investment portfolio and more online, making it simple and convenient for you.

Password Authentication
User ID:
Enter Password
Continue

Need an ID?
FSC Securities Corporation provides you with online access to your investment accounts, night and day, seven days a week.
Sign up today to access your account online.
Register Check Status

Enter User ID here

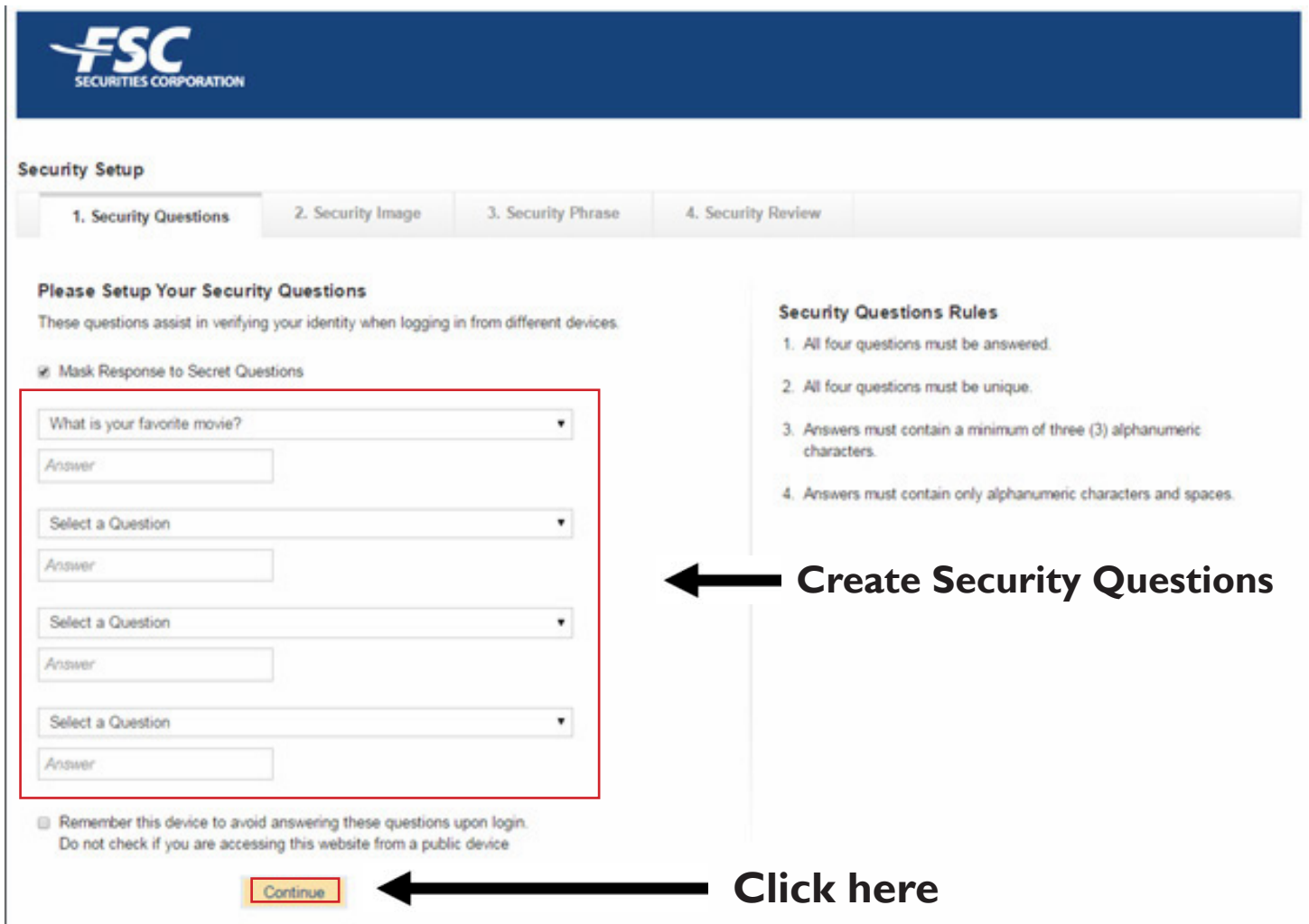
Click here

Enter Password here

Click here

Security Setup

Step 7: Select your security questions in the spaces provided, then click “Continue” when finished.



The screenshot shows the 'Security Setup' page for FSC Securities Corporation. The page has a blue header with the FSC logo. Below the header, there's a progress bar with four steps: 1. Security Questions (active), 2. Security Image, 3. Security Phrase, and 4. Security Review. The main content area is titled 'Please Setup Your Security Questions' and includes a sub-header 'These questions assist in verifying your identity when logging in from different devices.' There's a checkbox for 'Mask Response to Secret Questions' which is checked. Below this, there are four question selection boxes, each with a dropdown menu and an 'Answer' input field. The first question is 'What is your favorite movie?'. To the right of the question boxes, there are 'Security Questions Rules' listed: 1. All four questions must be answered. 2. All four questions must be unique. 3. Answers must contain a minimum of three (3) alphanumeric characters. 4. Answers must contain only alphanumeric characters and spaces. At the bottom, there's a checkbox for 'Remember this device to avoid answering these questions upon login. Do not check if you are accessing this website from a public device.' and a 'Continue' button. Two arrows point to the 'Continue' button and the question selection area.

Security Setup

1. Security Questions 2. Security Image 3. Security Phrase 4. Security Review

Please Setup Your Security Questions

These questions assist in verifying your identity when logging in from different devices.

☒ Mask Response to Secret Questions

What is your favorite movie?

Answer

Select a Question

Answer

Select a Question

Answer

Select a Question

Answer

☐ Remember this device to avoid answering these questions upon login.
Do not check if you are accessing this website from a public device

Security Questions Rules

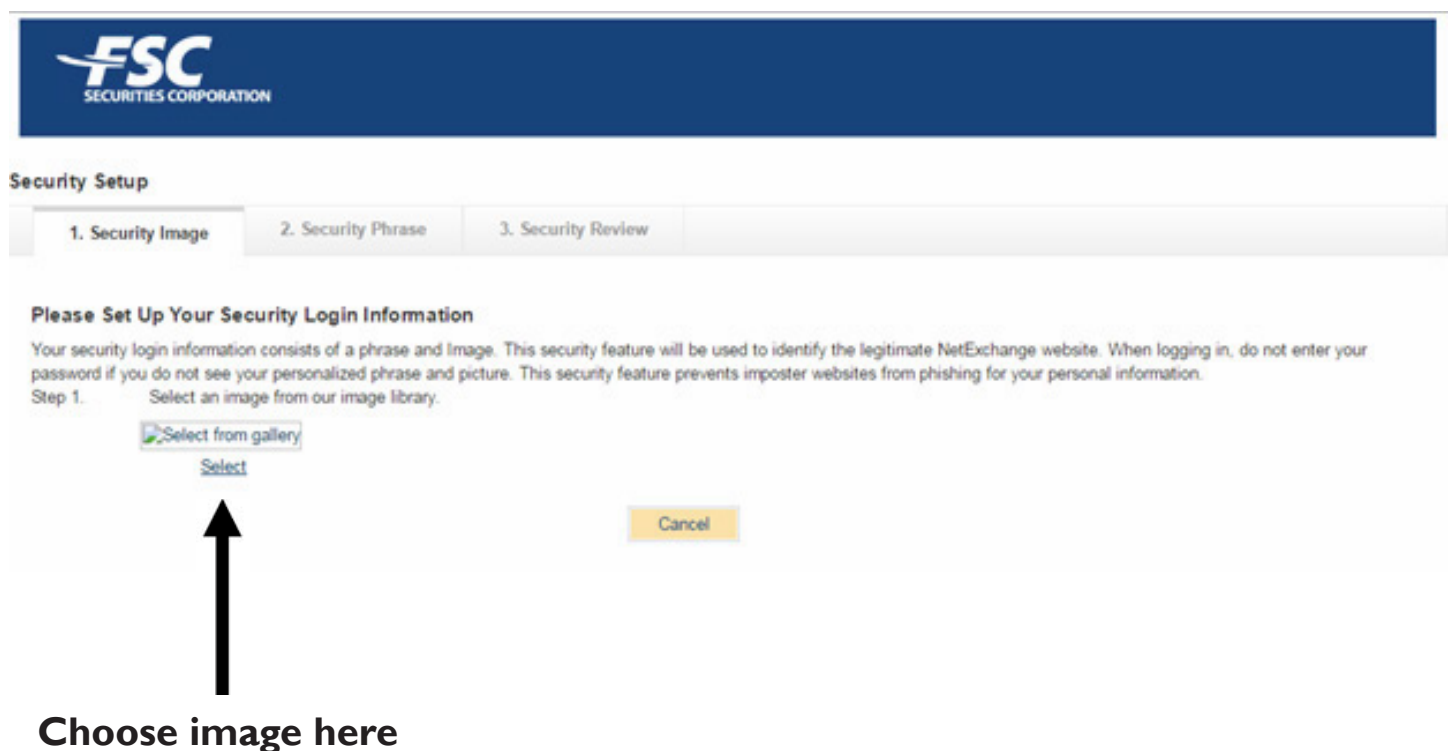
1. All four questions must be answered.
2. All four questions must be unique.
3. Answers must contain a minimum of three (3) alphanumeric characters.
4. Answers must contain only alphanumeric characters and spaces.

Create Security Questions

Click here

Security Setup

Step 8: Set up your security login information. This login information consist of a phrase and image, which you will choose from the gallery.



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Security Setup

1. Security Image 2. Security Phrase 3. Security Review

Please Set Up Your Security Login Information

Your security login information consists of a phrase and Image. This security feature will be used to identify the legitimate NetExchange website. When logging in, do not enter your password if you do not see your personalized phrase and picture. This security feature prevents imposter websites from phishing for your personal information.

Step 1. Select an image from our image library.

Select from gallery

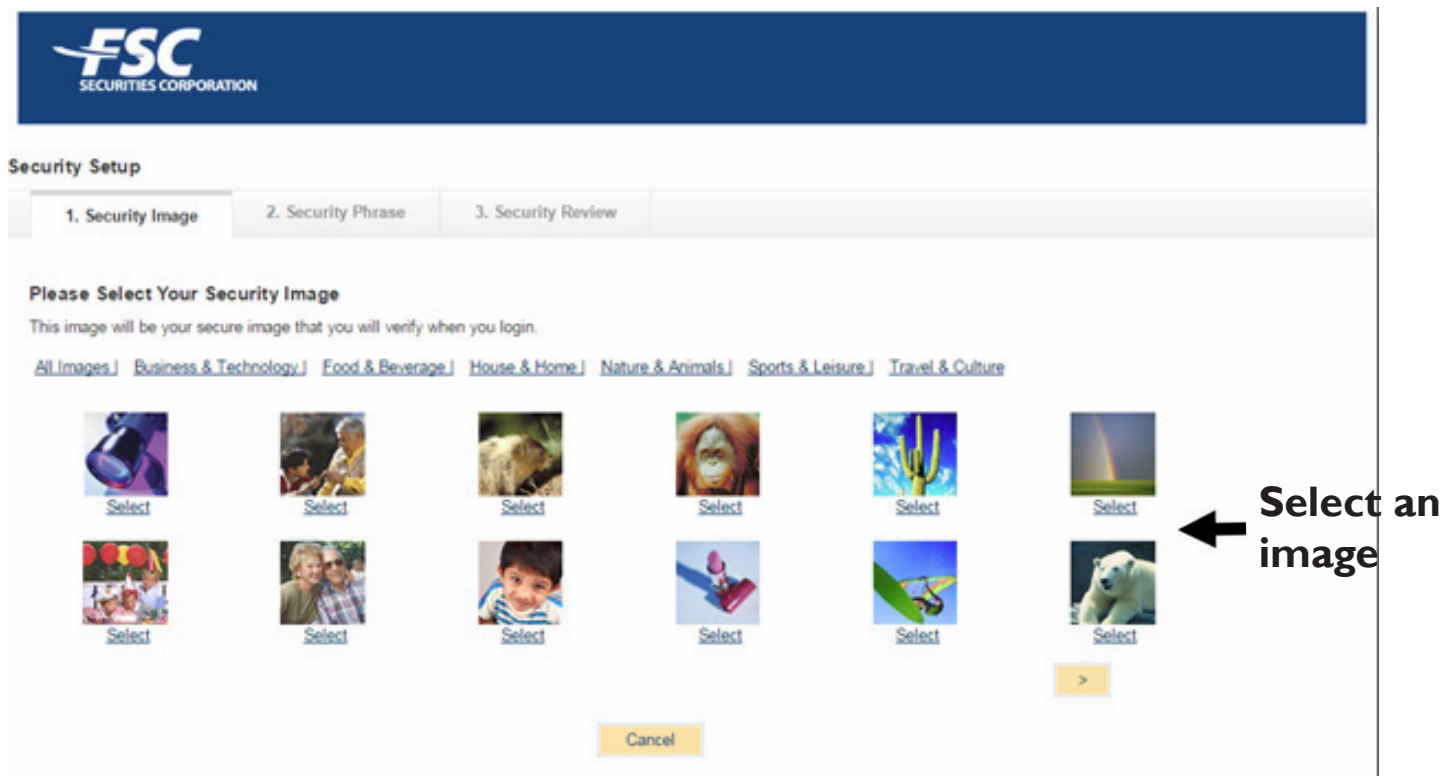
Select

Cancel

Choose image here

Security Setup

Step 9: Select your security image and create a security phrase, then click “Continue” when finished.








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Security Setup

1. Security Image 2. Security Phrase 3. Security Review

Please Select Your Security Image
This image will be your secure image that you will verify when you login.

[All Images](#) | [Business & Technology](#) | [Food & Beverage](#) | [House & Home](#) | [Nature & Animals](#) | [Sports & Leisure](#) | [Travel & Culture](#)

 [Select](#)  [Select](#)  [Select](#)  [Select](#)  [Select](#)  [Select](#)

 [Select](#)  [Select](#)  [Select](#)  [Select](#)  [Select](#)  [Select](#)

[Cancel](#) [>](#)


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Security Setup

1. Security Image 2. Security Phrase 3. Security Review

Please Set Up Your Security Phrase
Please confirm your image and enter a personalized security phrase in the text box (max 50 characters).

Step 1. Security Image

 [Change Image](#)

Step 2. Enter your personalized security phrase.

(max 50 characters) **Enter Security Phrase**

Note: Do not use login related terminology (i.e. login ID, password, answers to security information, etc.)

[Cancel](#) [Continue](#) **Click here**

Setting Up IVC Code

Step 10: Click the “Settings” icon in the right hand corner, then click “Edit” for Security Information, under Security Settings tab.

The image shows two screenshots of the FSC Securities Corporation website. The top screenshot shows the main dashboard with a settings gear icon in the top right corner, highlighted by a red box and an arrow pointing to it with the text "Click here for Settings". The bottom screenshot shows the "SETTINGS" page, where the "Security Settings" tab is selected. Within this tab, the "Security Information" link is highlighted with a red box and an arrow pointing to it with the text "Click here".

Top Screenshot: Main Dashboard

- Header: FSC SECURITIES CORPORATION, Quick Quote, Logout, Last Login: May 29, 2015 04:49 PM (ET)
- Navigation: PORTFOLIO, TRANSACTIONS, RESEARCH, TOOLS, COMMUNICATIONS
- Sub-navigation: OVERVIEW, BALANCES, HOLDINGS, UNREALIZED GAIN/LOSS, REALIZED GAIN/LOSS, HISTORY, PROJECTED CASH FLOW
- Viewing: TOTAL MARKET VALUE \$0.00, DAY CHANGE \$0.00 (0.00%)
- Quick Links: My Holdings, My Documents, My Balances, My Watchlists
- Composition: ASSET CLASS, MARKET VALUE, PERCENT OF TOTAL. Asset Allocation cannot be displayed when there are no assets held in the account.

Bottom Screenshot: Settings Page

- Header: FSC SECURITIES CORPORATION, Quick Quote, Logout, Last Login: May 29, 2015 04:49 PM (ET)
- Navigation: ALL COMMUNICATIONS, SETTINGS
- Security Settings: Password (Edit), Security Information (Edit)
- Accounts & Groups: Accounts, Groups
- e-Delivery Preferences: Email Address(es) (@GMAIL.COM, Add Email Address2, Delete), e-Delivery Preferences (Quick Enroll (for all accounts), Edit)

Identity Verification

Step 11: Set up one contact method, then click the check box under “Alert Notification”. Click “Continue” when finished. A six-digit code will be sent to your designated device. Enter the code, then click “Activate”.

Identity Verification Setup

An identity verification code is the newest process to protect your information online. [Tell me more.](#)

You must set up at least one contact method.

Add a phone number

DEVICE NICKNAME	COUNTRY CODE	PHONE NUMBER	CONFIRM PHONE NUMBER	CONTACT METHOD	
<input type="text" value="Enter Nickname"/>	<input type="text" value="United States +1"/>	<input type="text" value="Area Code & Phone Number"/>	<input type="text" value="Area Code & Phone Number"/>	<input type="checkbox"/> Voice call <input type="checkbox"/> Text/SMS*	Remove

[Add Phone Number](#)

Add an email address

EMAIL NICKNAME	EMAIL ADDRESS	CONFIRM EMAIL ADDRESS	
<input type="text" value="Enter Nickname"/>	<input type="text" value="Email Address"/>	<input type="text" value="Email Address"/>	Remove

[Add Email Address](#)

Alert Notification

For your security we require an email address to send important updates about your account, including password changes, failed account access attempts, or when your account is locked.

EMAIL ADDRESS	CONFIRM EMAIL ADDRESS
<input type="text" value="Email Address"/>	<input type="text" value="Email Address"/>

☒ Remember this device the next time you log in. Do not check if you are accessing this website from a public device.

[Cancel](#) [Continue](#)

Activate your device

We sent you a six-digit code. Enter the code below to activate your device.

This value is required.

[Activate](#) [Cancel](#)

I did not receive a code. [Send a new code.](#)

*Text STOP to 75780 or 59422 to financial representative. Message. AT&T Mobility: Boost, Metro PCS, SMS Terms & Conditions Privacy? Text HELP to 75780 or 59422 for help or call your rates may apply. 1 Message per request. Int'l. T-Mobile, Verizon Wireless

Set up a contact method, phone or email.

Click here

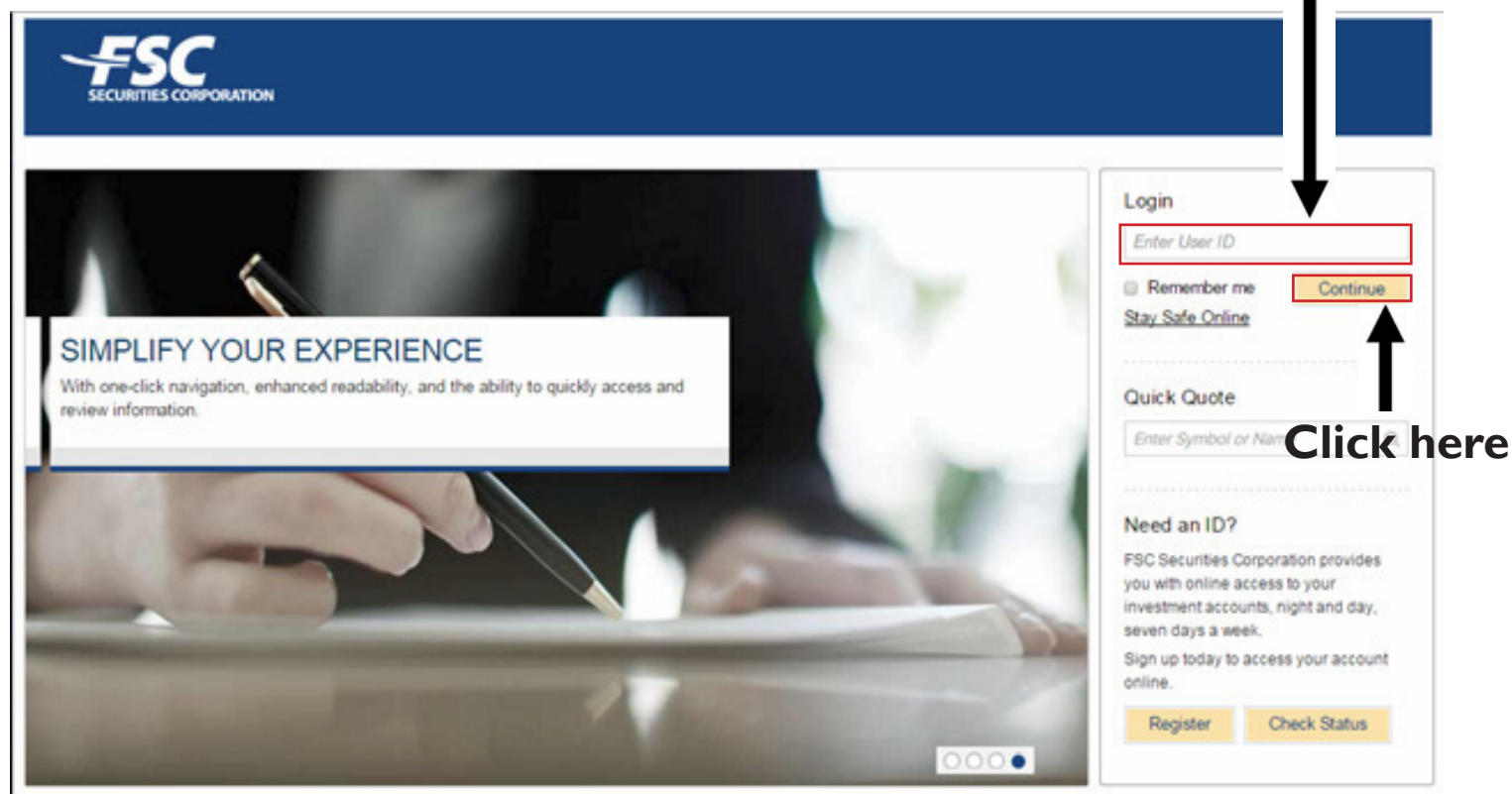
Click here to enter six-digit code

Click here to activate

New Login

Step 12: Now that you're setup, you will log into your new web page. Enter your User ID, then click "*Continue*" when finished.

Enter User ID

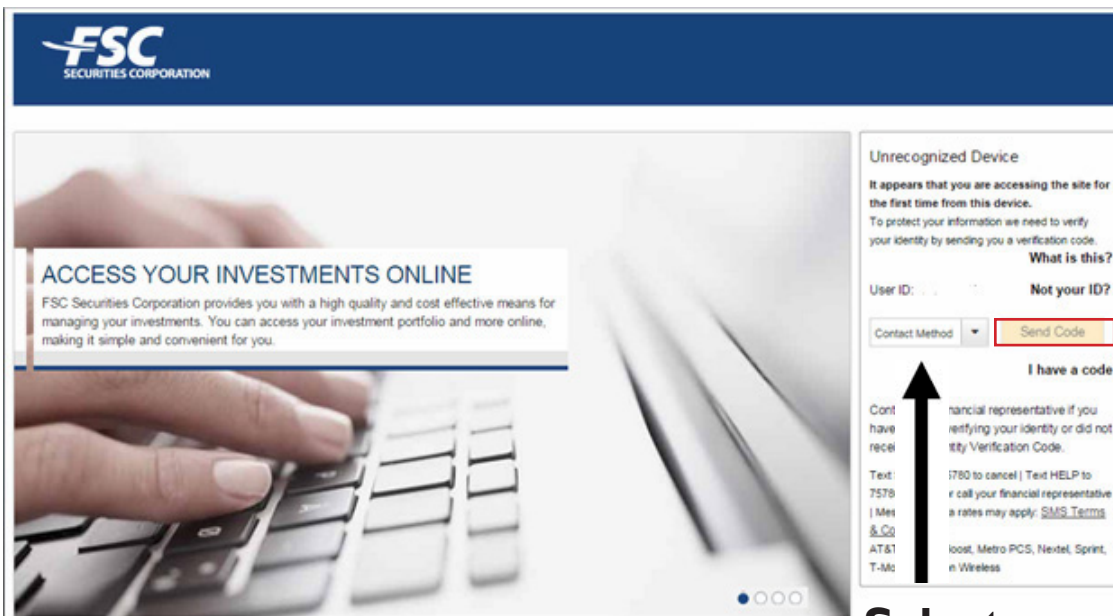


The screenshot shows the FSC Securities Corporation login page. The header features the FSC logo and the text 'SECURITIES CORPORATION'. The main content area is divided into two sections. The left section, titled 'SIMPLIFY YOUR EXPERIENCE', includes a sub-header and a paragraph about one-click navigation. The right section contains the login form. The form has a 'Login' heading, a text input field labeled 'Enter User ID' (highlighted with a red box and an arrow from the 'Enter User ID' text above), a 'Remember me' checkbox, a 'Continue' button (highlighted with a red box and an arrow from the 'Click here' text below), and a 'Stay Safe Online' link. Below the login form is a 'Quick Quote' section with a text input field labeled 'Enter Symbol or Name'. At the bottom of the page is a 'Need an ID?' section with a paragraph about online access and two buttons: 'Register' and 'Check Status'.

Click here

New Login

Step 13: Select your “Contact Method” from the drop down menu, then click “Send Code”.



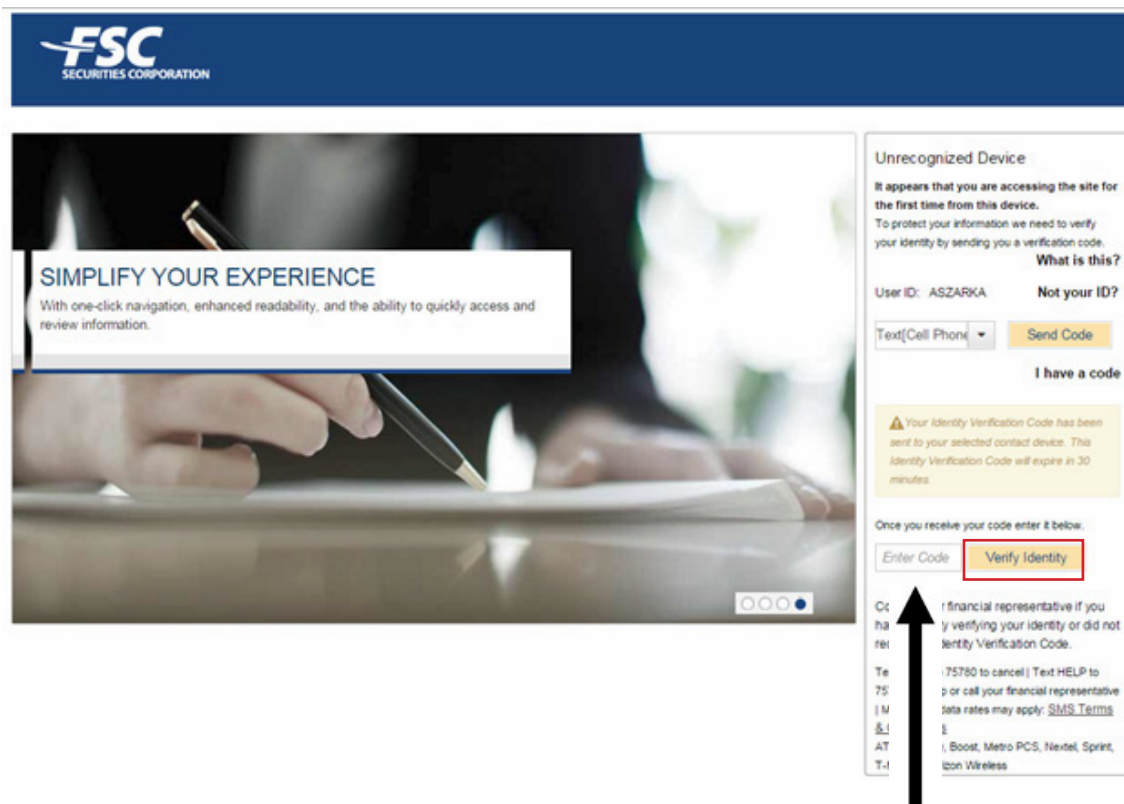
The screenshot shows the FSC Securities Corporation login interface. On the left, there is a banner for 'ACCESS YOUR INVESTMENTS ONLINE' with a background image of hands typing on a laptop keyboard. On the right, a white box titled 'Unrecognized Device' contains the following text: 'It appears that you are accessing the site for the first time from this device. To protect your information we need to verify your identity by sending you a verification code. What is this?'. Below this text are two links: 'User ID: [link]' and 'Not your ID?'. A 'Contact Method' dropdown menu is visible, and the 'Send Code' button is highlighted with a red border. Below the dropdown menu, there is a section titled 'I have a code' with a list of carriers: 'Cont have recei', 'Text: 7578', '| Mes & Co', 'AT&T', 'T-Mo', 'Financial representative if you reifying your identity or did not tify Verification Code.', '(780 to cancel | Text HELP to r call your financial representative a rates may apply: [SMS Terms](#)', 'loost, Metro PCS, Nextel, Sprint, n Wireless'. An arrow points from the 'Send Code' button to the right, and another arrow points from the 'Contact Method' dropdown menu to the text 'Select Contact Method'.

**Send
code**

**Select
Contact
Method**

New Login

Step 14: Once you get the code, enter it in where it says, “Enter Code” then click “Verify Identity”.



The screenshot shows the FSC Securities Corporation login interface. On the left, there is a banner with the text "SIMPLIFY YOUR EXPERIENCE" and a subtext "With one-click navigation, enhanced readability, and the ability to quickly access and review information." Below this is a background image of a hand writing on a document. On the right, there is a login form. At the top of the form, it says "Unrecognized Device" and explains that the user is accessing the site for the first time from this device. It then asks the user to verify their identity by sending a verification code. The form includes fields for "User ID" (ASZARKA) and "What is this?" (Text/Cell Phone). There is a "Send Code" button. Below this, there is a section for "I have a code" with a warning message: "Your Identity Verification Code has been sent to your selected contact device. This Identity Verification Code will expire in 30 minutes." Below the warning, there is a section for "Once you receive your code enter it below:" with two input fields: "Enter Code" and "Verify Identity". The "Verify Identity" button is highlighted with a red box. An arrow points from the text "Click here" to the "Verify Identity" button. Another arrow points from the text "Enter Code" to the "Enter Code" input field.

Click
here

Enter
Code

Confirm Identity


Step 15: Confirm your identity and security phrase. Enter your password as shown below. Click the “Continue” button when finished.

FSC
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AWARD-WINNING STATEMENT
Every year since 2007, your statement has earned an Excellent designation by DALBAR INC. in the "Trends and Best Practices in Investor Statements" category.

Confirm Identity
Please confirm that your user ID, phrase and picture are correct. If so, enter your password to continue.

User ID: _____

Picture: 

Phrase: security phrase

Enter Password

☒ Remember this device next time?

[Forgot Password?](#) [Continue](#)

E: If your phrase and picture are NO t correct, you are not on the valid site.

Enter
password



Click here

Check this box if you wish to have the system remember the device you are using to access your account information (Computer, Smart Phone, or Tablet).

Going Paperless

Step 16: Electronic statements can reduce paperwork and increase convenience. If you wish to receive your statements electronically, click the “*Going Paperless*” button, then click the “*Quick Enroll*” link.

The screenshot displays the FSC Securities Corporation website interface. At the top, the FSC logo is on the left, and a 'Quick Quote' search bar and 'Logout' button are on the right. Below the header is a navigation bar with tabs: PORTFOLIO, TRANSACT, RESEARCH, TOOLS, and COMMUNICATIONS. A 'Go paperless' button is highlighted with a red box in the top right corner of the navigation bar. Below the navigation bar, the main content area is divided into three sections: Security Settings, Accounts & Groups, and e-Delivery Preferences. The e-Delivery Preferences section is highlighted with a red box and contains a link labeled 'Quick Enroll (for all accounts)'. Two black arrows point from the text 'Click here' to the 'Go paperless' button and the 'Quick Enroll (for all accounts)' link.

FSC SECURITIES CORPORATION

Quick Quote [Search] Logout [Logout]

Last Login: May 29, 2015 04:49 PM (ET)

PORTFOLIO TRANSACT RESEARCH TOOLS COMMUNICATIONS

Go paperless

ALL COMMUNICATIONS SETTINGS

Security Settings

Password [Edit]
Security Information [Edit]

Accounts & Groups

Accounts Groups

3MIL [Add]

e-Delivery Preferences

Email Address(es) [Edit]
[Add Email Address2]

e-Delivery Preferences

ACCOUNT DOCUMENTS

3MIL127320 e-Delivery preferences have not been set

up

1 - 1 of 1 results

*e-mail address of another user linked to this account. Changes to delivery preferences will affect this e-mail address.
† Available communication types are subject to change.

Click here

Delivery Preferences

Step 17: Select the types of information you want to receive electronically (items not checked will be sent via U.S. mail). Click on your desired delivery preferences, create a Pin* and click “Save PIN”. Then click “Save”.

The screenshot displays the 'Document Delivery Preferences' window for FSC Securities Corporation. The window title is 'Document Delivery Preferences'. Below the title bar, there's a section 'Enroll me for all these types of documents across all my accounts'. This section contains two columns of checkboxes. The first column includes 'Statements and Reports', 'Trade Confirmations', 'Prospectus (for applicable accounts)*', and 'Proxy/Shareholder Communications*'. The second column includes 'Notifications†' and 'Tax Documents†'. The 'Prospectus' and 'Proxy/Shareholder Communications' checkboxes are checked. Below these checkboxes, there's a field 'Enter PIN' with a red box around it, and a 'Re-enter PIN' field. A 'Save PIN' button is located below the 'Enter PIN' field. At the bottom right of the window, there are 'Save' and 'Cancel' buttons. Annotations with arrows point to these elements: 'Select desired delivery preferences' points to the checkboxes; 'Create Pin' points to the 'Enter PIN' field; 'Click Save' points to the 'Save PIN' button; and another 'Click Save' points to the main 'Save' button at the bottom right.

*** Note:** Creating a Pin is only required when selecting to receive a Prospectus or Proxy/Shareholder Communication via email.

Delivery Preferences

Step 18: Click “I Agree” under the Electronic Delivery consent form below.

FSC SECURITIES CORPORATION

Search Query [] Submit [X]

LAST LOGIN: Nov 29, 2015 05:45 PM (ET)

PORTFOLIO TRACKACT RESEARCH TOOLS COMMUNICATIONS

Go paperless

ALL COMMUNICATIONS CUSTOMER

Document Delivery Preferences

[Back to Enrollment](#)

By clicking 'I Agree', you consent to electronic delivery of your account statements, trade confirmations and other account related documents listed below.

Electronic Delivery Consent

"You" means the account owner(s) of the account.

"Account Communications" means account statements, trade confirmations or other notices, disclosures and other information related to your account including without limitation prospectus, quarterly, semi-annual or annual shareholder reports, proxy statements, and legal and regulatory notices and documents.

"Authorized User" means a person that you have authorized to be provided a user ID which enables access to your account online.

You agree that your financial organization and your clearing broker Penning LLC ([Penning](#)) may make the account communications available in an electronic form as described herein instead of mailing them in paper form.

Account communications will be made available to you online via a website maintained by Penning on behalf of your financial organization (the "website"). Account communications will be available in portable document format (PDF). You affirm that you have installed a recent version of Adobe Acrobat Reader or other software application that enables you to view and print your account communications. You may print or save a copy of any of the account communications at any time. You may request a mailed copy of any of your account communications by contacting your financial organization.

An electronic mail (e-mail) notification will be sent when account communications are available to be accessed on the website. The e-mail will provide you with the URL of the website. The e-mail notification(s) will be sent to the e-mail address(es) you provided directly to your financial organization or provided by registering on the website. You may view and verify your e-mail address(es) via the website, and change the e-mail address(es) through the website or by contacting your financial organization.

In the event of an e-mail notification failure as defined by Penning, Penning may discontinue electronic delivery and mail your account communications in paper form until you re-enroll through the website. If you lose access to the website, you should contact your financial organization to have your access reinstated. By providing this Electronic Delivery Consent, you affirm that you have provided a valid e-mail address directly to your financial organization or by registering on the website, have access to the Internet and are at least 18 years of age. You agree that electronic delivery of the account communications is deemed accepted, regardless of whether you access or view a particular account communication document. You acknowledge that any authorized users for your account are able to make changes to the electronic delivery settings for your account, and you agree to accept responsibility for such changes.

This Electronic Delivery Consent is effective until revoked. You may revoke your consent and resume receiving paper account communications by changing your delivery preferences by following the instructions on the website, or by contacting your financial organization directly for assistance.

Click here → [I Agree](#) [I Disagree](#)